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<b>(21) International Application Number:</b> PCT/GB96/01936 <b>(22) International Filing Date:</b> 8 August 1996 (08.08.96) <b>(30) Priority Data:</b> 9502809-8 11 August 1995 (11.08.95) SE <b>(71) Applicant (for all designated States except US):</b> EWOS AB [SE/SE]; P.O. Box 431, S-191 04 Sollentuna (SE). <b>(71) Applicant (for TT only):</b> BOWMAN, David, Scott [SE/SE]; 15 Davidson Road, Edinburgh EH5 2PE (SE). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> KJELLEBERG, Staffan [SE/AU]; 22 Goorawahl Avenue, La Perouse, NSW 2036 (AU). CONWAY, Patricia [AU/AU]; 22 Goorawahl Avenue, La Perouse, NSW 2036 (AU). HAMMOND, Roger [GB/FI]; Hamcenkylantie 15, FIN-02660 Espoo (FI). JOBORN, Anna [SE/SE]; Kaptensgatan 26A, S-414 58 Goteborg (SE). OLSSON, Christer [SE/SE]; Ulvedalsgatan 105, S-442 43 Kungälv (SE). WESTERDAHL, Allan [SE/SE]; Bockagatan 4, S-511 61 Skene (SE). <b>(74) Agent:</b> MURGITROYD & COMPANY; 373 Scotland Street, Glasgow G5 8QA (GB).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PROTECTION AGAINST PATHOGENIC MICROORGANISMS		
<b>(57) Abstract</b> <p>The present invention relates to a bacteriostatic and bactericidal Carnobacterium. In particular one having the accession number DSM 10087 as deposited with the Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH and/or an inhibitory compound produced by said strain.</p>		

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1     Protection Against Pathogenic Microorganisms

2

3     The present invention relates to a nutritionally and  
4     prophylactically valuable product to improve the gut  
5     microbiological flora in mammals, including man, and in  
6     fish, shellfish and molluscs.

7

8     It is recognised that the status of the microbiological  
9     flora in the gut of an animal may have a profound  
10    effect on the wellbeing of the animal. Poor status of  
11    the gut microflora may result in less than optimal  
12    utilization of food and poor growth rate, in lower  
13    production of the lower quality of products such as  
14    milk, eggs, hide and carcass and/or in greater  
15    susceptibility of the animal to disease which may be of  
16    longer duration or of greater severity when the gut  
17    microflora is poor.

18

19    There has therefore been considerable interest in  
20    studying the gut microflora of animals, in ways of  
21    establishing and maintaining a beneficial microflora  
22    and in the mechanisms behind the observed benefits  
23    conferred by a gut microflora of the correct status.  
24    These investigations have concentrated on humans and on  
25    livestock of commercial significance. Thus the farmed

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1 species that have been studied most are those such as  
2 chickens and pigs, which commonly suffer from  
3 intestinal diseases that may be treated or prevented by  
4 intervention aimed at improving or modifying the gut  
5 microflora. Even in the absence of disease,  
6 commercially significant improvements in performance  
7 may be achieved by manipulating, or changing, the gut  
8 microflora.

9  
10 The type of intervention to alter the gut microflora  
11 may take several forms. Treatment with antibiotics is  
12 often practised to eliminate pathogenic microbes from  
13 the gut. This is usually accompanied by a reduction of  
14 those naturally resident microbes considered neutral or  
15 beneficial. Another form of intervention is to ensure  
16 that food contains constituents that promote the growth  
17 of beneficial microbes. Yet another form of  
18 intervention is to deliberately treat the animals with  
19 a live population of beneficial microbes, usually by  
20 including such microbes in the food or drinking water.

21  
22 Treatment with live microbes is increasingly practised.  
23 The objective is to ensure that an adequate microflora  
24 of the desired microbes is established in the gut at an  
25 early age of the animal or introduce into a potentially  
26 disrupted gut ecosystem. The microflora becomes  
27 established within the gut by association with the gut  
28 mucosa and by colonizing the luminal contents. Some  
29 microbes may adhere directly to the mucosal epithelium  
30 while others may be resident within the mucilage that  
31 lines the gut. The interactions between the microbes  
32 and the host are complex but some detailed  
33 understanding of microbe-microbe interactions and of  
34 the surface interactions between gut microbes and  
35 mucosal cells is emerging.

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1 The detailed mode of action of the gut microflora is  
2 not well understood, either with respect to the  
3 biochemical reactions mediated by the whole population  
4 or with respect to the activities of the individual  
5 microbes within the population. This is particularly  
6 true when considering effects on digestion and uptake  
7 of nutrients. There is, however, more information  
8 concerning the effects of gut microflora organisms on  
9 health aspects. Such organisms have been studied with  
10 respect to their activation of dietary, especially  
11 xenobiotic, compounds to carcinogens, and  
12 detoxification activity by desirable microbes: the  
13 potentiation of both non-specific and specific  
14 immunological defence mechanisms by desirable microbes:  
15 and the antagonism against pathogenic microbes by  
16 desirable microbes.

17  
18 The effects of gut microbes on enteric pathogens such  
19 as Salmonella, Clostridium, and E. coli have been  
20 studied. Beneficial microbes can suppress or prevent  
21 the colonization of the intestine by pathogens. The  
22 mechanisms known or inferred are varied. There may be  
23 very specific mechanisms such as the production of a  
24 specific antimicrobial substance, such as bacteriocin,  
25 by the beneficial microbes(s). There may be a  
26 production of broad range antimicrobial such as  
27 reuterin active against many bacteria, yeast and fungi.  
28 Other chemical inhibitors of pathogens produced by  
29 beneficial microbes include organic acids (in  
30 particular lactic acid) and hydrogen peroxide.  
31 Pathogens may also be selected against by the physical  
32 conditions of pH and redox potential controlled by  
33 beneficial microbes in the gut. Beneficial microbes may  
34 also prevent pathogens from becoming established in the  
35 gut by superior competition for nutrients or by  
36 occupying sites on the gut mucosa or within the mucus

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1 overlying the epithelial cells that would be required  
2 by the pathogens for their colonization. A number of  
3 these actions are encompassed within the term  
4 "competitive exclusion".

5  
6 **Background to the present invention**

7  
8 In the studies of adjustment of the gut microflora in  
9 humans and animals such as chickens, pigs and other  
10 effective treatments have been shown to consist of  
11 administration of live cultures of microbes which  
12 consist of or include bacteria belonging to the group  
13 described as "lactic acid bacteria". Such cultures are  
14 often termed "probiotic" preparations. The  
15 administration method is usually to provide the culture  
16 in the food or drinking water although spraying of  
17 newly hatched chicks and their surroundings has also  
18 been effective. Successful administration may be  
19 monitored by assessing the establishment of the  
20 supplied microbe(s) in the animal's digestive tract.

21  
22 The use of lactic acid bacteria as probiotic microbes  
23 stemmed from the early work of Metchnikoff with human  
24 infants and this group of bacteria has featured in much  
25 of the later work in both humans and animals. It  
26 appears that many mammals do have lactic acid bacteria  
27 as beneficial microbes in their digestive tract but  
28 other microbes, such as Bacillus, and yeast and fungi  
29 can be effective. In avian species, lactic acid  
30 bacteria are also important in probiotics but obligate  
31 anaerobic bacteria are also claimed to be necessary for  
32 protection against salmonellosis. In other cases a  
33 mixed culture of relatively few (less than 10) types of  
34 related microbes may be necessary; in yet other cases a  
35 complex mixed culture containing many tens (perhaps  
36 100) of different types of microbes may be effective.

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1 The culture used in this last case may approximately  
2 the entire resident beneficial microflora in the gut of  
3 the target animal.

4  
5 The source of probiotic microbes can be food such as  
6 fermented milk products, like yoghurt, in the case that  
7 it is desired to established or improve the population  
8 of particular lactic acid bacteria in the gut, or  
9 deliberately isolated cultures from the intestines of  
10 the target animal. In the latter instance, successful  
11 probiotic samples have been isolated from faecal  
12 samples but the microflora of faeces represents largely  
13 the transient microbial population in the gut whereas  
14 it is often desired or advantageous to employ a culture  
15 that is representative of the resident microbial  
16 microflora in the gut in order to ensure that isolation  
17 of the potential probiotic strain takes place from the  
18 resident microflora, it is necessary to carry out  
19 isolations from the alimentary canal directly, often  
20 from a location where it is desired to encourage the  
21 probiotic strain to reside. Thus scrapings of the  
22 internal intestinal mucosa of recently sacrificed,  
23 healthy animals may be a source for isolation of  
24 suitable organisms for testing as probiotics.

25  
26 It is observed that the distribution of the gut  
27 microflora in both qualitative and quantitative aspects  
28 is not uniform along the length of the alimentary  
29 canal. Frequently, the greatest and most varied  
30 populations are found in the lower intestine. It is  
31 here that the gut microflora probably exerts its major  
32 effect on digestion, nutrient uptake and also  
33 intestinal colonization by pathogens.

34  
35 After a population of the resident gut microflora has  
36 been isolated, it may be used as such to inoculate an

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1 animal with an inadequate gut microflora or it may be  
2 resolved into individual microbial strains for  
3 reintroduction as single strains or as simplified mixed  
4 populations. In the case that it is desired or  
5 necessary to separate a mixed population into its  
6 individual microbial strains, the techniques commonly  
7 employed in microbiology may be used. In particular  
8 separations may be made based upon the morphology of  
9 colonies on various solid and liquid media grown with  
10 different carbon and energy sources, with different  
11 nitrogen sources, under different conditions of gas  
12 supply (aerobic and anaerobic), at different pH values  
13 and other conditions known to those skilled in the art.  
14

15 If individual microbial stains are to be selected from  
16 a mixed population for use as probiotic strains it is  
17 necessary to apply some practical criteria for their  
18 selection. These criteria are partly dependent on the  
19 required attributes of a probiotic strain and include:  
20

- 21 - origination from the animal species in question;
- 22 - sufficient stability to digestive conditions  
23 (acid, bile, enzymes) to allow survival;
- 24 - ability to colonize the animal species in question  
25 under practical conditions. This may include the  
26 ability to adhere to the intestinal cells although  
27 effective strains may be able to reside within the  
28 intestinal mucus or lumenal contents without  
29 direct contact with the intestinal mucosal cells;
- 30 - antagonism against potential microbial pathogens.  
31 This may include the production of general or  
32 specific antimicrobial substances by the selected  
33 strain.
- 34 - safety in use. This includes the demonstration  
35 that the selected strain is not itself a pathogen  
36 causing a clinical disease.



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1 It will be apparent that individual microbial strains  
2 isolated from a population originating from resident  
3 gut microflora of a healthy animal should meet many of  
4 these criteria by definition: including origination  
5 from the species in question and sufficient stability  
6 and ability to colonize the animal gut. In terms of  
7 selective criteria for choosing a probiotic strain, the  
8 ability to adhere to intestinal mucosal cells may be  
9 applied in the case where it is known that the microbe  
10 must adhere to such cells, for example, when the strain  
11 is to be applied to new born or newly hatched infants  
12 which have a naked, or nearly so, gut mucosa. The  
13 inability of a strain to adhere to the gut mucosa, does  
14 not, however, indicate that the strain is without  
15 utility as a probiotic.

16  
17 In the case that one objective of using a probiotic is  
18 to combat pathogenesis via the gut, selection based on  
19 demonstrated antagonism towards likely or actual  
20 pathogens in the gut of the species concerned is  
21 indicated. Numerous in vitro methods of showing and  
22 quantifying such antagonism are known to those skilled  
23 in the art of selecting microbes producing antibiotics  
24 and may be applied here, is recognized, however, that  
25 antagonism, or the extend of antagonism, may vary  
26 depending on the in vitro methods used. It is also  
27 recognized that it may be difficult to show in vivo the  
28 same antagonism which can be demonstrated in vitro,  
29 partly because it is difficult to reproduce exactly the  
30 in vivo conditions in the laboratory experiments.  
31 However, the effectiveness of the probiotic may be  
32 readily demonstrated by subjecting the animal treated  
33 with the probiotic strain to challenge with a disease  
34 causing microbe.

35

36 Since the gut microflora may harbour pathogenic

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1 microbes in the carrier state and hence there are no  
2 signs of clinical disease, it is clearly necessary to  
3 exclude such pathogens from the selected population of  
4 probiotic strains. Suitable tests to establish the  
5 non-pathogenicity of a test probiotic strain include  
6 deliberate injection into the animal. One route for  
7 injection in such tests is into the peritoneal cavity.  
8 Observation of lack of disease symptoms and inability  
9 to isolate live microbes of the test strain from the  
10 target organs indicates lack of pathogenicity.

11  
12 It is known that fish harbour bacteria with inhibiting  
13 activity against pathogens in their gut microbial  
14 flora. Thus Westerdahl, A., et al, Appl Environm.  
15 Microbiol. 57:2223-2228 (1991), and Olsson. J. C., et  
16 al, Appl En-vironm. Microbiol. 58:551-5556 (1992)  
17 discloses isolation and characterization of turbot  
18 associated bacteria with inhibitory effects against  
19 Vibrio anguillarum.

20  
21 US-A-4,657,762 discloses a composition useful in the  
22 treatment of disturbances in the normal intestinal  
23 flora of poultry, whereby the composition contains  
24 anaerobic bacteria of intestinal origin.

25  
26 Austin B., et al, J. Fish Disease, 15:55-61 (1992)  
27 discloses inhibition of bacterial fish pathogens by  
28 Tetraselmis suecica by administering supernatants and  
29 extracts from heterotrophically grown Tetraselmis  
30 suecica which inhibit different prawn pathogenic  
31 vibrios.

32  
33 Robertson, B., et al, J Fish Diseases 13:291-400 (1990)  
34 discusses enhancement of non-specific disease  
35 resistance in Atlantic salmon, *Salmo salar* L., by a  
36 glucan from Saccharomyces cerevisiae cell walls when

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9

1 injected intraperitoneally.

2

3 Smith, P., et al, J. Fish Diseases, 16:521-524 (1993)  
4 discloses evidence for the competitive exclusion of  
5 Aeromonas salmonicida from fish with stress-inducible  
6 furunculosis by a fluorescent pseudomonad isolated from  
7 gill and gill mucus of brown salmon, Salmo trutta L,  
8 which strain had been isolated for its ability to  
9 inhibit Aeromonas salmonicida.

10

11 Douillet, P, A., et al, Aquaculture, 119:25-40 (1994)  
12 discloses the use of probiotic for the cultures of  
13 larvae of the Pacific oyster (Crassostrea gigas  
14 Thunberg) whereby addition of strain CA2 as a food  
15 supplement to xenic larval cultures of the oyster  
16 Crassostrea gigas enhanced growth of the larvae.

17

18 Description of Present Invention

19

20 It has now surprisingly been shown that a bacterium  
21 found within a bacterial population isolated from one  
22 Atlantic salmon, Salmo salar, exhibits strong  
23 inhibitory effects against bacterial fish pathogens,  
24 Vibrio anguillarum, (vibriosis), Vibrio ordalli  
25 (vibriosis), Aeromonas salmonicida, (furunculosis), and  
26 others. The strain which has been denoted strain K in  
27 the following has been provided the accession number  
28 DSM 10087 as deposited with the Deutsche Sammlung von  
29 Mikroorganismen und Zellkulturen GmbH on 6 July 1995  
30 under the Budapest Treaty.

31

32 The strain K, as hitherto isolated from an Atlantic  
33 salmon, in accordance with Olsson, J. C., et al, Appl  
34 Environm. Microbiol. 58:551-556 (1992) (enclosed herein  
35 as a reference) proved to be a motile, Gram-positive  
36 pleomorphic, facultative anaerobic rod. The

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10

1 antibacterial activity of the strain K was analysed and  
2 the results suggested that multiple, broad range  
3 antibacterial compounds are released in the surrounding  
4 medium during the logarithmic phase of growth in TSBS  
5 (Tryptic Soya Broth supplemented with Salt, NaCl 2%  
6 w/v) medium. The inhibitory compounds were also  
7 produced when the strain K was grown in diluted  
8 intestinal mucus, which suggests that the strain K  
9 bacterium will proliferate and produce the  
10 antibacterial substance in the gut. The antibacterial  
11 compounds were heat labile, and were initially  
12 determined to have a molecular weight of about 140-150  
13 dalton by gel filtration. The antibacterial compounds  
14 have been found to have an inhibitory activity against  
15 both Gram-negative and Gram-positive bacteria, but not  
16 against yeast. The antibacterial compounds are  
17 bacteriostatic at low concentrations but bactericidal  
18 at higher concentrations. The activity is maintained  
19 when the compounds are stored in frozen state, but is  
20 lost when maintained at 23°C.

21

22 Strain K shows the following phenotypic  
23 characterization:

24

25 The major fatty acids are 16:0 (31.1%); 16:1 (24.2%);  
26 and 18:1 cis 9 (23.4%). The remaining fatty acids are:  
27 18:2 cis 9, 12; 18:0A (10.8%); 14:0 (5.4%) and 18:0  
28 (3.5%). Strain K can utilize the following carbon  
29 sources: sucrose, maltose, trehalose, mannitol;  
30 ribose, B-D-glucopyranoside. It was not able to  
31 produce acids from the following carbon sources: cyclo-  
32 dextrin, tagotose, D-arabitol, L-arabinose, melezitose,  
33 melibiose, pullulan, glycogen, raffinose, lactose, and  
34 sorbitol. It did not produce acetoin. It was able to  
35 hydrolyse hippurate. Beta-Glucosidase activity was  
36 demonstrated. No activity of the following enzymes

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1 were detected: urease, betagalactosidase, beta-  
2 glucuronidase, alfa-galactosidase, arginin dihydrolase,  
3 alanylphenylalanyl-prolin arylamidase, acide  
4 pryoglutamique arylamidase, N-acetyl-beta-  
5 glycosaminidase.

6  
7 Strain K is sensitive to gentamycin, erythromycin,  
8 rifampicin, tetracyclin, ampicillin, and kanamycin. It  
9 is sensitive to a lesser extent to neomycin and  
10 nalidixic acid. Strain K does not harbour any  
11 detectable plasmids.

12  
13 A 2.3 fold diluted of a cell-free culture supernatant  
14 provides a total growth inhibition of Vibrio  
15 anguillarum (HT11360). Aeromonas salmonicida (ATCC  
16 14174) is more sensitive than the Vibrio anguillarum  
17 strain, and a 10 times dilution results in total growth  
18 inhibition during a 24 hours test period. Any dilution  
19 of the TSBS does not interfere with these results.

20  
21 The active compounds loses its activity gradually at  
22 4°C and cannot be detected after 8 weeks. When frozen  
23 the full activity remains for at least 12 months.

24  
25 The active compound of strain K inhibits a large  
26 number of bacteria, whereby no difference is seen  
27 between Gram-negative bacteria. All pathogens tested  
28 were sensitive, whereby Staphylococcus aureus and  
29 Proteus vulgaris CCUG 6327 proved to be the most  
30 sensitive and E. Coli Av24 and Pseudomonas aeruginosa  
31 the least. Yeast is not inhibited.

32  
33 Strain K grows in intestinal mucus. Growth was  
34 proceeded by a lag phase of at least 7.5 hours. This  
35 is comparable with the length of the lag phase that is  
36 exhibited in TSBS by the same strain in the same

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1 temperature. Strain K was found to produce substances  
2 during growth in mucus that are inhibitory to growth of  
3 the fish pathogens Vibrio anguillarum and Aeromonas  
4 salmonicida. The inhibitors were detected in the mucus  
5 at the onset of the logarithmic growth (7.5 hours). An  
6 increase in the inhibitory activity was observed  
7 throughout the log phase and into stationary phase.  
8 The growth of the pathogens was not inhibited in the  
9 control culture with intestinal mucus without strain K.  
10 The colonies on the TSBS plates were identified as  
11 strain K, a Carnobacterium by biochemical tests. The  
12 bacteria in the pinpoint colonies were found to be  
13 motile, forming pairs or chains with four cells, Gram  
14 positive, catalase and oxidase negative. Inhibition  
15 zones were around the colonies when tested against V.  
16 anguillarum.

17  
18 The colony forming units (CFU) were found to increase  
19 approximately 3 log during 12.5 hours of growth in  
20 faeces suspension. No lag phase was seen during growth  
21 in faeces suspension. After the culture had reached  
22 stationary phase, at 12.5 hours, no further increase of  
23 inhibitory activity was detected.

24  
25 Production of substances that inhibit the growth of the  
26 fish pathogens, V. anguillarum and A. salmonicida, was  
27 detected after 7.5 hours. The inhibitory activity  
28 increased until 12.5 hours and then remained unchanged.  
29 V. anguillarum and A. salmonicida grew in the faeces  
30 control. The identity of the strain K colonies was  
31 confirmed as described in the previous section.

32  
33 Neither strain K, nor its inhibiting compound (-s), is  
34 toxic to fish. No fish in any tested group died or  
35 showed any external signs of disease during the  
36 experiments in which fish were exposed to strain K.

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1 Splens from both infected with strain K and control  
2 fish were free from culturable bacteria.

3

4 Media, diluent and culture conditions

5

6 The media and diluent used with Tryptic Soya Broth  
7 (TSB, Difco) and TSBS (TSB supplemented with 2% NaCl);  
8 TSA (TSB + 15% agar) and TSAS (TSA supplemented with 2%  
9 NaCl); TSAS soft agar (TSBS + 5% agar); Marine agar  
10 (MA, Difco); Nutrient agar (NA, Difco); Brain heart  
11 infusion (BHI, Difco); Rogosa (Difco); TCBS Cholera  
12 Medium (Oxoid); Marine Minimal Medium (MMM, Neidhardt  
13 et al, (1974)); VFI (peptone 1.0g, yeast extract 0.5 g,  
14 glucose 0.5g, starch 0.5g; Salmon intestinal buffer  
15 (Hickman (1968)); NaHCO<sub>3</sub> 1.03g, NaCl 1.97g, KCl 0.16g,  
16 CaCl<sub>2</sub>.2H<sub>2</sub>O 2.07g, MgSO<sub>4</sub>.7H<sub>2</sub>O 21.95g, MgCl<sub>2</sub>.6H<sub>2</sub>O 10.67g,  
17 agar 15g distilled water 1000ml); VNSS agar (peptone  
18 1.0g, yeast extract 0.5g, glucose 0.5g, starch 0.5g,  
19 FeSO<sub>4</sub>.7H<sub>2</sub>O 0.01g, Na<sub>2</sub>HPO<sub>4</sub> 0.01g, agar 15g in 1000ml NSS);  
20 NSS (Nine Salt Solution: NaCl 17.6g, Na<sub>2</sub>SO<sub>4</sub> 1.47g, NaHCO<sub>3</sub>  
21 0.08g, KCl 0.25g, KBr 0.04g, MgCl<sub>2</sub>.6H<sub>2</sub>O 1.87g, CaCl<sub>2</sub>.2H<sub>2</sub>O  
22 0.41g, SrCl<sub>2</sub>.6H<sub>2</sub>O 0.008g, H<sub>3</sub>BO<sub>3</sub> 0.008g in 1000 ml double  
23 distilled water). Horse-blood agar (HBA, TSA  
24 supplemented with 5% horse blood.

25

26 Bioassay for the detection of inhibitory effect

27

28 i) Double-layer agar method.

29

30 The plates were screened for inhibition by a  
31 modified double-layer agar method described by  
32 McLeod and Govnlock (1921). Macrocolonies of the  
33 inhibitory bacteria were obtained by spot seeding  
34 on agar plates (10 µl of a liquid culture in log  
35 phase) and incubating the plates for 18 hours at  
36 23°C. The macro-colonies were treated with

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1 chloroform vapour for 30 min. The pathogen (100 $\mu$ l  
2 of a 10 x diluted liquid culture) was then seeded  
3 into a tube of melted (temperature to 45°C) TSAS  
4 soft agar (3ml) mixed and then poured onto the top  
5 of the plates. After incubation for 18 hours the  
6 zones of growth inhibition created by the  
7 producing colonies were measured as the distance  
8 between the edge of the macro colony and the edge  
9 of the clearing zone.

10

11 ii) Liquid bioassay in microtitre wells

12

13 The inhibitory effect was determined as changes in  
14 the optical density (OD<sub>610</sub>), using microtitre  
15 spectrophotometer (Bio Tech. Biokinetics). The  
16 inhibition assay was performed in microtitre wells  
17 (Nunc, 96 wells). The inhibitory bacterium was  
18 grown in TSBS at 23°C. The cells were removed by  
19 centrifugation and the supernatant was filter  
20 sterilized (MFS-25 cellulose acetate filter units,  
21 0.2  $\mu$ m). The cell free supernatant (150  $\mu$ l) was  
22 transferred to a microtitre well and an equal  
23 volume of fresh TSBS (150  $\mu$ l) was added.

24 Thereafter the target microorganism (3  $\mu$ l from a  
25 logphase culture) was inoculated in the well and  
26 the growth was monitored by measuring the optical  
27 density at 610 nm for 24 hours. As a control a  
28 dilution series with 2% NaCl solution and TSBS was  
29 made to relate growth of the pathogen with the  
30 amount of medium added. To control for any auto-  
31 inhibition by the pathogen, cell-free culture  
32 supernatant (from a culture of the pathogens) was  
33 treated in the same way as the supernatant derived  
34 from the inhibitory strain.



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15

1 Table 1

2

3 The double-layer agar method was used to test growth  
 4 inhibition of a wide range of bacteria, as well as  
 5 salmon related yeasts by macro-colonies of isolated  
 6 strain K.

7

8	<u>Organism</u>	<u>GRAM</u>	<u>Inhibition</u>
9		<u>±/-</u>	<u>Zone</u>
10			
11	Strain K	+	-
12	Vibrio anguillarum HTI 1360	+	+++
13	V. anguillarum 2129	+	+++
14	V. anguillarum	+	+++
15	V. ordalli NCMB 2127	+	++++
16	V. fisheri	+	++++
17	Photobacterium angustum S14	-	++++
18	Aeromonas salmonicida ATCC 14174		++++
19	A. hydrophila		+++
20	A. hydrophila NCTC 8049		++++
21	Escherichia coli B CCUG 214		+++
22	E. coli Av24		+
23	Vibrio sp. 4:44		+++
24	Salmon isolate		+++
25	Vibrio sp. D2		+++++
26	Pseudomonas aeruginosa		+
27	Staphylococcus aureus	+	+++++
28	Serratia marcescens CCUG 760	-	+++
29	Micrococcus luteus	+	++++
30	Proteus vulgaris CCUG 6327	-	+++++
31	Klebsiella oxytoca CCUG 383	-	+++
32	Bacillus mageritensis CCUG 1817	+	++++
33	B. subtilis CCUG 163B	+	++
34	Acinetobacter calcoaceticus CCUG 12864	-	++++
35	Streptomyces griseus CCUG 760		++++
36	Citrobacter freundii CCUG 418	-	++++

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1 Marine yeast Sc18 (unidentified fish  
2 isolate) -  
3 Marine yeast Sc3 *Saccharomyces cerevisiae*  
4 CBS 7765 -  
5 *Debaryomyces hansenii* HFI -  
6 *S. cerevisiae* CBS 7764 -  
7 -----  
8 Inhibition zone radius (mm): 0 (-); 1-5 (+); 6-10 (++);  
9 11-15 (+++); 16-20 (++++); >20 (+++++)

10

11 Table 2

12

13 Phenotype of strain K

14

15 Property

16

Strain K

17 Single rod	+, two polar flagella
18 Pleomorphic	+
19 Motility	+
20 Flagellation	dipolar
21 Spores	-
22 Gram reaction	+
23 Colony diameter	<1 mm
24 Colony appearance on TSA	Circular; entire; 25 semitranslucent; raised
26 Pigmentation	Buff
27 Odour	-
28 Anaerobic growth	+
29 Temperature for growth	4-30°C
30 pH for growth	5.5 to 9
31 pH change during growth	7.2 to 6.8
32 Salinity for growth (NaCl)	0 to 6%
33 Catalase	-
34 Oxidase	-
35 Haemolysis	alfa
36 Urease	-

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1     Hydrogensulphide production                 -  
2     Fermentative in Leifson                 weak acid, no gas

3  
4     Strain K is thereby identified as a Carnobacterium and  
5     was primarily characterized as Carnobacterium  
6     alterfunditum. However, further investigations of 16S  
7     rRNA demonstrates that Carnobacterium alterifunditum is  
8     the closest related organism with a homology of 98.7%.  
9     However, according to previous publications (Collins et  
10    al, 1987) this would justify describing the isolate as  
11    a new species of the genus. Thus the strain K is a new  
12    strain, whereby it will be named more specifically  
13    later on.

14  
15    The inhibitory compound(s) was partly purified by first  
16    removing the bacteria cells from a TSBS culture by  
17    entrifugation in the early stationary growth phase  
18    (13000 x g for 10 min). The sample was then kept at  
19    4°C during the subsequent purification steps. The  
20    cell-free culture supernatant was fractionated by  
21    passing it through a 500 dalton cut-off filter (Amicon,  
22    Difaflo YC05). Further purification was performed by  
23    gelfiltration using a G10 Sephadex (Pharmacia, Sweden)  
24    in a XK26/40 column. PBS (2mM) was used as a effluent  
25    buffer. The ultra-filtrated supernatant was applied to  
26    the coloumn and eluated at a flow rate of 44 ml/h.  
27    Fractions (2.9 ml) were collected and examined for  
28    antimicrobial activity by the liquid bioassay described  
29    above. The apparent size of the inhibiting compound(-  
30    s) was determined using a standard curve including NADH  
31    (709 D), N-formyl-Met-Leu-Phe-Phe (584.7 D, Sigma),  
32    Tyr-Gly-Gly (295.3 D, Sigma), L-tryptophan (204.23 D)  
33    and tyrosine (181.19 D) as markers. Blue dextran was  
34    used to determine the void volume of the coloumn.

35  
36    The inhibitors was extracted using ethyl acetate at pH

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1 2.5 and subsequently purified on TLC using silica gel.  
2 The inhibitors are stable for at least 24 hours at a pH  
3 of 2 to 11.

4  
5 The partly purified inhibitory compound(-s) was  
6 subjected to heat treatment, various enzyme treatments,  
7 metaperiodate treatment, stability tested, and culture  
8 media dependency.

9  
10 The action of the inhibiting compound(-s) was  
11 determined as follows. *Aeromonas salmonicida* from a  
12 culture in log phase was inoculated into fresh TSBS to  
13 a density of about  $1 \times 10^6$  cells/ml. The culture was  
14 incubated for 30 min prior to starting the experiment.  
15 To 100 ml culture flasks, 10 ml of the *Aeromonas*  
16 *salmonicida* culture and a mixture (10 ml final volume)  
17 of the partly purified inhibitor supernatant, and NSS  
18 (pH 7.2) was transferred such that a series of  
19 concentrations of the inhibitor was obtained. The  
20 cultures were slowly shaken at 23°C and samples in  
21 triplicate were taken to determine the number of colony  
22 forming units (CFU) during a time period of 26 hours.

23  
24 A 4 fold dilution of the cell-free supernatant resulted  
25 in total growth inhibition of *Vibrio anguillarum*  
26 (H111360). *Aeromonas salmonicida* (ATCC 14174) was  
27 found to be more sensitive than the *Vibrio anguillarum*  
28 strain and a 10 fold dilution still resulted in a total  
29 growth inhibition during the 24 hours test period. The  
30 dilution of TSBS did not interfere with the results.

31  
32 The action of the inhibitory compound(-s) against  
33 *Aeromonas salmonicida* using different dilutions is  
34 shown in the Table 3 below.

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Table 3

Dilution	CFU.ml after												
	0	1	2	3	4	5	6	7	8	9	10	11	hrs
2 fold	6.4	1.0	0.2	0.2	0.1	0.05	0.0	0.0	0.				$\times 10^5$
							5	5	0				
4 fold	6.4	4.4	2.0	1.0	0.8	0.2	0.1	0.0					$\times 10^5$
6 fold	6.4	5.4	3.0	1.9	1.4	1.0	0.8	0.2	0.	0.0	0.		$\times 10^5$
									1	5	0		

- 1 The action of the inhibitory compound(-s) against
- 2 *Vibrio anguillarum* as determined as the growth of
- 3 strain K in TSBS at 23°C as and expressed as the
- 4 increase in optical density at 610 nm over time will be
- 5 given below. Further the minimal dilution of free cell
- 6 culture supernatant causing total inhibition of *Vibrio*
- 7 *anguillarum*. The results are combined in Table 4 below.

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20

Table 4

Incubation Time (hrs)	Increase in Optical density at 610 nm	Minimal dilution arbitrary units
5.5	0.025	30
6	0.05	
6.5	0.08	50
7	0.12	
7.5	0.15	70
8	0.18	
8.5	0.21	80
9	0.23	
9.5	0.25	80
10	0.25	
10.5	0.25	80
16	0.25	80

1 The present strain K the other strains capable of  
2 producing the active compound or chemically related  
3 compounds or the active compound derived therefrom and  
4 chemically related compounds and derivatives thereof  
5 can, in particular, be used in the prophylactic or  
6 therapeutic treatment of fish infected by fish  
7 pathogens, whereby an amount of the strain K that  
8 provides an inoculum allowing the colonization of the  
9 fish intestine by the strain K or an amount of the  
10 strain K that provides an active amount of the  
11 inhibiting compound, is administered to the fish, or an  
12 active amount of the inhibiting compound as such is  
13 administered to the fish for prophylactic and/or  
14 therapeutic treatment of fish susceptible to fish  
15 pathogens. Hereby all types of fish are encompassed,

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1 to which strain K is not pathogenic, harmful or  
2 deleterious, but in particular salmonids, turbot,  
3 yellow tail, seabass/seabream and other types of farmed  
4 fish and other aquaculture species, such as shellfish  
5 (prawns and shrimps) and molluscs.

6  
7 It might be so that strain K is pathogenic, harmful or  
8 otherwise deleterious as such in some of the organism  
9 to which it is administered although this is not  
10 foreseen. However, the active compound(-s) therefrom  
11 might each be so, but can be administered instead for  
12 obtaining a bacteriostatic or bactericidal effect.

13  
14 The strain K or active inhibiting compound derived  
15 therefrom can be administered orally as such or via the  
16 feed, which is the best mode, bathing of young or older  
17 fish, single inoculation of young or older fish to  
18 establish the strain K in the gut, or repeated  
19 inoculation of young or older fish. When the active  
20 compound as such is administered together with the  
21 feed, one has to consider the lability of the compound,  
22 if it is to be incorporated into the feed before the  
23 hydrothermal forming of pellets. A suitable means to  
24 avoid thermal destruction of the active compounds is to  
25 add them to the feed pellets after their information  
26 and cooling.

27  
28 The strain K or its active inhibitory compound(-s) can  
29 be administered in different ways such as via food,  
30 feed-stuff including drinking water, as a composition  
31 as such containing the strain. Further it can be added  
32 via spraying the animals, including fishes, by  
33 immersion of the animals, in particular when fish is  
34 concerned, by injection into the gut, or via  
35 inhalation.

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22

1     Claims

2

- 3     1.     Use of a probiotic for treating mammals, including  
4           man, fish, shellfish and molluscs, comprising at  
5           least one microbial strain isolated from the  
6           resident gut microflora of healthy fish and  
7           selected by methods known per se to be capable of  
8           establishing itself at an effective level in the  
9           intestine of the animal treated, whereby the  
10          strain is a bacteriostatic and bactericidal  
11          Carnobacterium.
- 12
- 13    2.     The use as in Claim 1 of a Carnobacterium having  
14           the accession number DSM 10087 as deposited with  
15           the Deutsche Sammlung von Mikroorganismen und  
16           Zellkulturen GmbH.
- 17
- 18    3.     The use of said strain of claims 1-2 for the  
19           prophylactic treatment of mammals, including man,  
20           fish and other aquatic animals.
- 21
- 22    4.     The use of said strain of Claims 1-2 for the  
23           therapeutic treatment of mammals, including man,  
24           fish and other aquatic animals.
- 25
- 26    5.     The use of the probiotic of Claims 1 to 4, whereby  
27           said strain is administered by immersion of the  
28           subject in a liquid container said probiotic.
- 29
- 30    6.     The use of the probiotic of Claims 1 to 4, whereby  
31           said strain is administered via the food/feed  
32           including drinking water, supplied to the subject.
- 33
- 34    7.     The use of the probiotic of Claims 1 to 4, whereby  
35           said strain is administered via spraying onto the  
36           subject.



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- 1     8.    The use of the probiotic of Claims 1 to 4, whereby  
2        the said stain is administered via injection into  
3        the subject.  
4
- 5     9.    The use of the probiotic of Claims 1 to 4, whereby  
6        the said strain is administered via inhalation  
7        into the subject.  
8
- 9     10.   The use according to Claims 2 to 9, whereby said  
10       strain is used in the treatment of infections  
11       caused by Gram-positive and/or Gram-negative  
12       bacteria, such as *Vibrio anguillarum*, *Vibrio*  
13       *ordalii*, *Vibrio fischeri*, *Aeromonas salmonicida*,  
14       *Photobacterium angustum*, *Aeromonas hydrophila*,  
15       *Staphylococcus aureus*, *Bacillus megaterium*,  
16       *Acinetobacter calcoaceticus*, *Serratia marcescens*,  
17       *Micrococcus luteus*, *Proteus vulgaris*.  
18
- 19    11.   A microbe inhibiting active compound derived from  
20       strain of Claim 1.  
21
- 22    12.   The use of a microbe inhibiting active compound of  
23       Claim 11 in the prophylactic treatment of mammals,  
24       including man, fish, shellfish and molluscs.  
25
- 26    13.   The use of a microbe inhibiting active compound of  
27       Claim 11 in the therapeutic treatment of mammals,  
28       including man, fish and molluscs.  
29
- 30    14.   The use of a microbe inhibiting compound of Claim  
31       12 or 13 for inhibiting the growth of Gram-  
32       positive and/or Gram-negative bacteria, such as  
33       *Vibrio anguillarum*, *Vibrio ordalii*, *Vibrio*  
34       *fischeri*, *Aeromonas salmonicida*, *Photobacterium*  
35       *angustum*, *Aeromonas hydrophila*, *Staphylococcus*  
36       *aureus*, *Bacillus megaterium*, *Acinetobacter*

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24

1 calcoaceticus, Serratia marcescens, Micrococcus  
2 luteus, Proteus vulgaris.

3

4 15. A probiotic for treating mammals, including man,  
5 fish, shellfish and molluscs comprising at least  
6 one microbial strain isolated from the resident  
7 gut microflora of healthy fish and selected by  
8 methods known per se to be capable of establishing  
9 itself at an effective level in the intestine of  
10 the animal treated, whereby the strain is a  
11 bacteriostatic and bactericidal Carnobacterium.

12

13 16. A probiotic according to Claim 15, wherein the  
14 strain is a Carnobacterium having the accession  
15 number DSM 10087 as deposited with the Deutsche  
16 Sammlung von Mikroorganismen und Zellkulturen GmbH  
17 in combination with other microbes isolated from a  
18 gut microflora of a healthy subject.

19

20 17. The use of a bacteriostatic and bactericidal  
21 compound expressed by said strain for the  
22 treatment of mammals, including man, fish,  
23 shellfish and molluscs in combination with other  
24 microbes isolated from a gut microflora of a  
25 healthy subject.

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 96/01936

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61K35/74 C12N1/20 C12P1/04 A23K1/00 //(C12P1/04,  
C12R1:01)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K C12N C12P A23K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>AQUATIC LIVING RESOURCES, - vol. 7, no. 4, 1994, FRANCE, pages 277-282, XP000609995 F.-J. GATESOUE: "LACTIC ACID BACTERIA INCREASE THE RESISTANCE OF TURBOT LARVAE, SCOPHTHALMUS MAXIMUS, AGAINST PATHOGENIC VIBRIO." see page 281</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	1-10,15

☒ Further documents are listed in the continuation of box C.☐ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
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\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

20 November 1996

Date of mailing of the international search report

06.12.96

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## INTERNATIONAL SEARCH REPORT

Inter. Appl. No.  
PCT/GB 96/01936

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>APPLIED ENVIRONMENTAL MICROBIOLOGY, vol. 58, no. 2, February 1992, WASHINGTON, D.C., US, pages 551-556, XP000611262 J.C. OLSSON ET AL.: "INTESTINAL COLONIZATION POTENTIAL OF TURBOT (SCOPHTHALMUS MAXIMUS)- AND DAB (LIMANDA LIMANDA)- ASSOCIATED BACTERIA WITH INHIBITORY EFFECTS AGAINST VIBRIO ANGUILLARUM." cited in the application see the whole document</p>	1-10,15
A	<p>--- CHEMICAL ABSTRACTS, vol. 115, no. 25, 23 December 1991 Columbus, Ohio, US; abstract no. 275401v, A.M. BAYA ET AL.: "BIOCHEMICAL AND SEROLOGICAL CHARACTERIZATION OF CARNOBACTERIUM SPP. ISOLATED FROM FARMED AND NATURAL POPULATIONS OF STRIPED BASS AND CATFISH." page 557; column R; XP002018973 see abstract &amp; APPL. ENVIRON. MICROBIOL., vol. 57, no. 11, 1991, pages 3114-3120,</p>	2,16
A	<p>--- CHEMICAL ABSTRACTS, vol. 115, no. 19, 11 November 1991 Columbus, Ohio, US; abstract no. 202927m, P.D. FRANZMANN ET AL.: "PSYCHROTROPHIC, LACTIC ACID-PRODUCING BACTERIA FROM ANOXIC WATERS IN ACE LAKE, ANTARCTICA; CARNOBACTERIUM FUNDITUM SP. NOV. AND CARNOBACTERIUM ALTERFUNDITUM SP. NOV." page 542; column L; XP002018974 see abstract &amp; ARCH. MICROBIOL., vol. 156, no. 4, 1991, pages 255-262,</p> <p>--- -/--</p>	2,16

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT, GB 96/01936

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>CHEMICAL ABSTRACTS, vol. 117, no. 7, 17 August 1992 Columbus, Ohio, US; abstract no. 66155v, G. STOFFELS ET AL.: "PURIFICATION AND CHARACTERIZATION OF A NEW BACTERIOCIN ISOLATED FROM A CARNOBACTERIUM SP." page 429; column R; XP002018975 see abstract &amp; APPL. ENVIRONM. MICROBIOL., vol. 58, no. 5, 1992, pages 1417-1422, -----</p>	11

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 96/01936

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim(s) 1-10, 12-14, 17  
is(are) directed to a method of treatment of the human/animal  
body, the search has been carried out and based on the alleged  
effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such  
an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.